

Please type a plus sign (+) inside this box → ☐

PTO/SE-21 (Rev. 09/01)
Approved for use through 10/31/2002. OMB 0651-0001
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/923,060
Filing Date	August 6, 2001
First Named Inventor	A. Malthe-Sorensen et al.
Group Art Unit	2123
Examiner Name	N/A
Attorney Docket Number	CON-1006D1 (ICR-99/045A)

Total Number of Pages in This Submission 4

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
Postcard; 15 references

Remarks

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 13-0010 (CON-1006D1 (ICR-99/045A))

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Kaushik P. Sriram, Reg. No. 43,150

Signature

KP Sriram

Date

December 10, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: December 10, 2001

Typed or printed name

Beth Pearson-Naul

Signature

Beth Pearson-Naul

Date

12-10-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
JAN 31 2002
Technology Center 2100

APPLICANT: A. Malthe-Sorensen et al.

Group Art Unit: 2123

SERIAL NO.: 09/923,060

Examiner: Not Assigned

FILED: August 6, 2001

TITLE: "Method of Modeling of Faulting
and Fracturing in the Earth"

Atty Docket No.: CON-1006D1

BOX DD

Commissioner of Patents and Trademarks
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56(a) exists.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 13-0010 (CON-1006D2).

Respectfully submitted,

Dated: December 10, 2001


Kaushik P. Sriram
Registration No. 43,150
Madan, Mossman & Sriram, P.C.
2603 Augusta, Suite 700
Houston, Texas 77057
Telephone: (713) 266-1130
Facsimile: (713) 266-8510

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that this paper, along with any referred to as being attached or enclosed, is being forwarded to Box DD, Commissioner of Patents and Trademarks, Washington, D.C. 20231, via the United States Postal Service, First Class Mail, prepaid on the 10th day of December, 2001.

By: 
Beth Pearson-Naul



INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

CON-1006-D1

SERIAL NO.

09/923,060

APPLICANT

Anders Malthé-Sorensen et al.

FILING DATE

August 6, 2001

GROUP

2123

RECEIVED
 JAN 31 2002
 Technology Center 2100

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,821,164	04/11/89	Swanson	364	420	07/25/86
	AB	4,991,095	02/05/91	Swanson	364	421	11/21/88
	AC	5,659,135	08/19/97	Cacas	73	152.02	04/10/96
	AD	5,661,698	08/26/97	Cacas	367	73	10/18/95
	AE	5,815,154	09/29/98	Hirschtick et al.	345	356	12/20/95
	AF	5,838,634	11/17/98	Jones et al.	367	73	12/09/96
	AG	5,844,564	12/01/98	Bennis et al.	345	423	04/11/97

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AH							
	AI							
	AJ							

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AK	D.M.de G. Allen; Chapter 2, Linear Algebraic Equations (continued), Relaxation Methods, McGraw Hill N.Y. 1954, pp. 12, 14-23.						
	AL							
	AM							

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant



INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

CON-1006-D1

SERIAL NO.

09/923,060

APPLICANT

Anders Malthe-Sorensen et al.

FILING DATE

August 6, 2001

GROUP

2123

RECEIVED
 JAN 31 2002
 Technology Center 2100

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5,953,680	09/14/99	Divies et al.	702	5	05/07/97
	BB	6,035,255	03/07/00	Murphy et al.	702	11	12/01/97
	BC	6,038,514	03/14/00	Nozaki	702	27	08/12/97
	BD	6,044,328	03/28/00	Murphy et al.	702	11	12/01/97
	BE	6,070,125	05/30/00	Murphy et al.	702	11	12/01/97
	BF	6,089,744	07/18/00	Chen et al.	364	578	12/29/97
	BG	5,606,517	02/25/97	Traub et al.	364	578	06/08/94

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	BH							
	BI							
	BJ							

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	BK	
	BL	
	BM	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant